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# Technology options for faecal sludge management in developing countries: Benefits and revenue from reuse

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# h i g h l i g h t s

- Raw faecal sludge causes environmental pollution and outburst of diseases.
- Technology options for faecal sludge management.
- The decision matrix prepared with respect to city constraint.
- IRR and payback period were used as financial indicators for treatment technologies.
- Treated faecal sludge has economic and environmental benefits.

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# a b s t r a c t

This article provides technology options for the treatment of Faecal Sludge (FS) in developing countries to minimise exposure to FS and assesses its benefits along with possible revenue generation from reuse. FS that is collected from septic tanks poses management challenges in urban areas of developing countries. Currently, FS is dumped into the urban and peri-urban environment, posing great risks to the soil, surface water and groundwater quality. FS treatment technology usually consists of (1) primary treatment for the separation of the solid and liquid parts, and (2) sludge treatment, which is the final stage of treatment that is generated from the primary treatment. A decision matrix was prepared on the basis of primary and sludge treatment technological options with respect to land requirement, energy requirement, skill requirement, capital cost (CAPEX), operating cost (OPEX) and groundwater level. These parameters strongly influence the decisionmaking about the selection of the FS treatment technology. The selection of a FS treatment technology for a city also depends on the local conditions and priorities of the region with regard to sanitation such as population coverage, environmental and health benefits, elimination of open defecation, etc. Techno economic feasibility of different combinations of primary and sludge treatment technologies was conducted to evaluate its viability. The analysis was conducted across different classes of cities with varying population size. The combination of primary treatment technologies with solar sludge oven emerged to be the most economically viable options for FS treatments across different population size in developing countries.

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# **Contents**



# <span id="page-1-0"></span>**1. Introduction**

Sanitation refers to the maintenance of hygienic conditions by proper treatment and disposal of excreta. Excreta consists of urine and faeces which are not mixed with grey water. It has low volume but a high concentration of nutrients and pathogens. Inadequate sanitation can lead to the spread of diarrhoeal diseases [\(Lalander](#page-15-0) [et al.,](#page-15-0) [2013\)](#page-15-0), whereas improved sanitation is known to have a significant positive impact on human health [\(Mara](#page-15-1) [et al.,](#page-15-1) [2010\)](#page-15-1). At present, there is a lack of access to affordable sanitation facilities in developing countries. FS is the partially digested slurry or semisolid that is generated from the storage of excreta or black water, presence or absence of grey water [\(Strande](#page-15-2) [et al.,](#page-15-2) [2014\)](#page-15-2). In urban areas of developing countries, about 53.1% of the households do not have a toilet/lavatory and about 38% of the urban households in India use septic tanks as onsite sanitation facility [\(Census](#page-14-2) [of](#page-14-2) [India,](#page-14-2) [2011\)](#page-14-2). The faecal sludge collected from these systems is usually discarded directly into water bodies or nearby agricultural fields. This kind of a practice poses great risks to the soil, surface water and groundwater quality, in addition to contaminating the agricultural produce and causing the spread of fatal diseases such as diarrhoea, cholera and helminthiasis due to faecal contamination [\(Nguyen-Viet](#page-15-3) [et al.,](#page-15-3) [2009\)](#page-15-3).

According to [Castro-Rosas](#page-14-3) [et al.](#page-14-3) [\(2012\)](#page-14-3), 99% of faecal coliform, 85% of *Escherichia coli* and 7% of diarrheagenic *E. coli* are found in the ready-to-eat salad in Pachuca City, Mexico, where most of the locally consumed vegetables are irrigated with untreated sewage water. TheWorld Health Organization (WHO) recommends that the level of faecal coliforms in wastewater that is used for irrigation should not exceed 1000 Colony-Forming Units (CFUs) or a Most Probable Number (MPN) of 100 ml [\(WHO,](#page-15-4) [2006\)](#page-15-4). High levels of faecal coliform were recorded in the vegetables in the markets of Kumasi, Ghana, as they were contaminated by wastewater streams used for irrigation [\(Keraita](#page-14-4) [et al.,](#page-14-4) [2003\)](#page-14-4).

In developing countries like India, poor nutritional status and poverty promote mortality and morbidity associated with excreta-related diseases. It is estimated that approximately 1.8 million children under the age of five die each year from diarrhoeal diseases worldwide, as reported by the [WHO](#page-15-5) [\(2004\)](#page-15-5), and 10% of the population in the developing world is severely infected with intestinal worms due to improper waste and excreta management [\(WHO,](#page-15-6) [2000\)](#page-15-6). The estimated loss of about 62.5 million Disability-Adjusted Life Years (DALYs) or 4.3% of the overall global burden of disease is mainly attributed to diarrhoeal diseases alone. Unsafe water supply or scarcity of potable water, inappropriate sanitation and poor hygiene are the key factors responsible for about 88% of above estimated diseases [\(WHO,](#page-15-7) [2002\)](#page-15-7). A higher risk of mortality has been observed in children with low weight (for their age) [\(WHO,](#page-15-6) [2000;](#page-15-6) [Rice](#page-15-8) [et al.,](#page-15-8) [2000\)](#page-15-8). The health impacts of wastewater and FS disposal are mainly due to specific pathogens, e.g., *Shigella* spp. [\(Esrey](#page-14-5) [et al.,](#page-14-5) [1991\)](#page-14-5). Thus, exposure to excreta is an environmental and health hazard, and so minimising exposure in each and every part of the sanitation value chain becomes

paramount. Similar to other developing nations, environmental sanitation condition in Ghana is also substantially lacking due to inadequate number of toilet facilities as well as insufficient waste disposal and treatment services.

Concentration of nutrients, pathogens, solid content, Chemical Oxygen Demand (COD), Biochemical Oxygen Demand (BOD) are excessively higher in FS. FS contains high amounts of excreted pathogens, which may induce plant and soil toxicity, and may have adverse effects on the metabolism of soil microorganisms. Once pathogens enter the environment, they can be transferred via either the mouth through eating infected vegetables or the skin (if schistosomes and hookworms) [\(Carr,](#page-14-6) [2001\)](#page-14-6). Thus, proper excreta disposal and maintenance of optimal levels of personal and domestic hygiene are essential for protecting public health. In order to achieve the target of proper FS disposal, appropriate and ecologically sound technologies are essential which should not only economical, but also long lasting and prolonged for potential recovery of recyclable constituents from sludge since as explained above, FS are having very rich concentration of nutrients along with higher organic content. Faecal sludge management (FSM) helps to achieve the goal to transform cities into totally sanitised, healthy and liveable cities and towns. FS treatment technology described in this paper would in turn help in the implementation of policy in developing countries, such as the national urban sanitation policy (NUSP) in India, which aim to achieve cities free from open defecation. In India, the first faecal sludge treatment plant (FSTP) was recently constructed in Devanahalli in Karnataka. The FSTP is designed for 6  $m<sup>3</sup>$  of septage, the treated sludge from which is used for manure production [\(CDD,](#page-14-7) 2016).

The key objective of the study is to assess existing FS treatment technologies that may be relevant for adoption in developing countries to minimise exposure to FS for urban sanitation improvements, and also to understand the benefits of sanitation with respect to cost recovery. This paper is divided into six sections. The second section of the paper discusses the constraints and reuse potential of FS in developing countries. The third section explains in brief the methodology, while fourth section explains the technology options for primary and sludge treatment. The fifth section describes the benefits and analyses the economic viability of technologies across different classes of cities.

# <span id="page-2-0"></span>**2. Constraint and reuse potential of faecal sludge**

#### <span id="page-2-1"></span>*2.1. Constraint of faecal sludge treatment in developing countries*

Conversion of FS to valuable products without any foul odour, flies and pathogen transmission is a challenging task in developing countries. The choice of FS treatment methodology primarily depends on the sludge characteristics and their reuse option [e.g., land application, biogas production or landfilling [\(Kone](#page-15-9) [et al.,](#page-15-9) [2010\)](#page-15-9)]. Sludge characteristics vary significantly depending on the location, water content and storage. For example, ammonium concentration in FS can vary from 300–3000 mg/L, while 60,000 Helminth Eggs (HE) can be present per litre of FS [\(Mang](#page-15-10) [and](#page-15-10) [Li,](#page-15-10) [2010\)](#page-15-10). The FS characteristic determines the appropriate type of treatment and reuse. The wide variety of FS characteristics requires considering suitable options for primary treatment. Primary treatment is used for dewatering or solid–liquid separation or biochemical stabilisation of FS. Technologies for dewatering of FS have been reported previously [\(Pescod,](#page-15-11) [1971;](#page-15-11) [Strauss](#page-15-12) [et al.,](#page-15-12) [1997,](#page-15-12) [1998\)](#page-15-12). Dewatered sludge with low moisture content reduces transport loads and is easier to handle. Dewatering is also necessary prior to composting and landfilling to reduce the leachate percolation to the groundwater.

The choice of FS treatment methodology also depends on the practice used for FSM. In developing countries, households mostly use septic tanks, twin pits and manual emptying for FSM. The sludge collected from the septic tank and twin pit is biochemically more stable due to longer storage periods as the sludge is emptied from the septic tank and twin pits in 2–3 years. The sludge collected from frequent (regular or weekly) emptying is biochemically unstable and exhibits a high organic concentration.

The challenges that are explicitly faced by developing countries for treating FS are different from those faced while treating wastewater. The fact is that the organic and solid content as well as the pathogen concentrations are 10–100 times more impactful in FS than in municipal wastewater; therefore, suitable treatment is required for FS [\(Klingel](#page-14-8) [et al.,](#page-14-8) [2002\)](#page-14-8). The choice of FS treatment option for a city should particularly depend on the local conditions and priorities of the region with regard to sanitation such as coverage, environmental and health benefits, elimination of open defecation, etc. Variation in population density, water usage and availability, soil type, level of water table, availability of capital, ability to pay and uncertainty about growth patterns strongly influence the decision-making about the selection of treatment.

# <span id="page-2-2"></span>*2.2. Reuse potential of faecal sludge*

Human excreta is a good source of organic matter and plant nutrients, which can be reused in agriculture as fertiliser and for soil amendment. Faeces in human excreta contains maximum of the organic matter whereas urine is having higher concentration of nitrogen (70%–80%) and potassium, however, even distribution of phosphorus is found in urine and faeces [\(Otterpohl](#page-15-13) [et al.,](#page-15-13) [2003\)](#page-15-13). At the same time, excreta has a higher concentration of pathogenic microorganisms, and, therefore, requires adequate sanitisation prior to use [\(Albihn](#page-14-9) [and](#page-14-9) [Vinnerås,](#page-14-9) [2007;](#page-14-9) [Winker](#page-15-14) [et al.,](#page-15-14) [2009\)](#page-15-14). Some of the well-known techniques which cleanse and convert organic wastes into valued produce are: composting, vermicomposting, shallow trenches, anaerobic digester, solar drying, etc.

<span id="page-3-3"></span>

**Fig. 1.** Overview of technology options for faecal sludge treatment.

# <span id="page-3-0"></span>**3. Methodology**

Primary and sludge treatment technologies that are best suited for developing countries were identified through literature survey, books and through document analysis. General descriptions, advantages, constraints and a decision matrix for FSM technologies are provided in Section [4](#page-3-2) for the reader to compare and contrast between the potential performance and scope of appropriate applications. Technically viable combinations of primary and sludge treatment technologies were chosen and assessed for their economic viability. Internal Rate of Return (IRR), which is the rate at which the net present value of all cash flows from a project or investment equals zero, was chosen as the economic indicator to measure the profitability of potential technology combinations. The net cash flow was calculated using the revenue generated from the reusable products and the annual operating expenses of the treatment systems. IRR was calculated for all chosen technology combinations for varying population sizes (i.e., 10,000–5 million) to study the economic viability of the technologies across different classes of cities. IRR of 10% were considered as the benchmark for the economic viability. Hence, FSM combinations providing an IRR greater than or equal to 10% were considered profitable. The payback period for the profitable combinations was calculated to understand the time required to recover the cost of an investment. The detailed economic analysis is provided in Section [5.2.](#page-9-1)

# <span id="page-3-2"></span><span id="page-3-1"></span>**4. Faecal sludge management technologies**

FS (which is discharged by collection and transport trucks) requires a preliminary screening before initiation of treatment, due to the high content of coarse waste such as rocks, sand, iron, wood, textiles and plastics, tissue and paper. In addition, the quality of FS collected from industrial and commercial areas should be tested to check for contamination (with metals, and/or high concentrations of oil, grease, fats, etc.).

FS treatment technologies usually comprise (1) primary treatment for the separation of the solid and liquid parts, and (2) sludge treatment, which is the final treatment that is generated from primary treatment. Treatment at very primary level results in reduction of sludge volume which in turn minimises the storage requirement as well as transportation costs, but it is an expensive high-tech solution. Age of FS and period of onsite storage affect the ability to dewater the sludge. Fresh sludge is more difficult to dewater than older sludge, which is more stabilised FS.

After treatment, three types of end products will be produced, i.e., screenings, treated sludge and liquid effluents. The liquid effluent generated from the primary treatment must be treated further to meet the requirements for water reuse or discharge into the environment. Low-cost technologies such as waste stabilisation ponds or wetlands could be used for the liquid treatment. Treatment technology options at the primary and sludge treatment level for FS are presented in [Fig. 1.](#page-3-3) These treatment technologies are generally appropriate for the household level, ward level and city level. Each technology has different fields of application.

<span id="page-4-4"></span>Overview and removal efficiency of primary treatment options.



<span id="page-4-0"></span>*4.1. Primary treatment (solid–liquid separation) technologies*

Primary treatment is used for solid–liquid separation (dewatering) as well as for treatment of the solid and liquid parts of FS that is generated from the septic tank. The technologies used for primary treatment are unplanted drying bed, planted drying bed, centrifugation, settling–thickening tank, Imhoff tank and geobag. Out of these technologies, centrifugation and geobag would be used only for solid–liquid separation, whereas unplanted drying bed, planted drying bed, settling–thickening tank and Imhoff tank would be used for solid–liquid separation as well as treatment of the solid and liquid parts. General overview and removal efficiency of primary treatment options are presented in [Table 1.](#page-4-4)

# <span id="page-4-1"></span>*4.1.1. Unplanted drying bed*

Unplanted drying beds contain shallow filters filled with sand and gravel, with an under-drain at the bottom to collect the leachate. Approximately 50%–80% of the sludge volume is discharged as a leachate, which needs to be treated before being discharged into agricultural fields. After drying, the sludge is removed from the bed manually or mechanically and needs to be further treated by co-composting [\(Cofie](#page-14-10) [et al.,](#page-14-10) [2006\)](#page-14-10). The sludge from an unplanted drying bed cannot be directly used for land application as a soil fertiliser due to the presence of pathogens.

The main advantages of unplanted drying bed are the low cost, good dewatering efficiency, no energy requirement, and the fact that they can be built and repaired with locally available materials. Constraints of this technology are the high land requirement, odours and flies, which are normally noticeable, labour-intensive removal, limited reduction of pathogens, and the need for further treatment of the liquid part.

# <span id="page-4-2"></span>*4.1.2. Planted drying bed*

A planted drying bed is sometimes called a vertical-flow constructed wetland, reed bed, planted dewatering bed or sludge bed with emergent plants. In Ouagadougou, emergent plants like *Andropogongayanus* and *Cymbopogonnardus*are used for the treatment of FS in planted drying beds [\(Joceline](#page-14-13) [et al.,](#page-14-13) 2016) Emergent plants are essential to improve the performance of planted drying beds for waste stabilisation and reduction of pathogens [\(Strauss](#page-15-12) [et al.,](#page-15-12) [1997\)](#page-15-12).

The main advantages of a planted drying bed are that it is cost-effective, easy to operate, can handle high loading and has better sludge treatment than unplanted drying beds. The constraints of this technology are high land requirement, odours and flies, which are normally noticeable, labour-intensive removal of sludge, limited reduction of pathogens and the need for further treatment of the liquid part.

# <span id="page-4-3"></span>*4.1.3. Centrifugation*

Centrifugation is a type of mechanical dewatering that is mostly applied for the treatment of residual sludge in largescale centralised wastewater treatment plants [\(Nikiema](#page-15-16) [et al.,](#page-15-16) [2014\)](#page-15-16). This can be applied to thicken or dewater the sludge to different levels, by varying the operating conditions; however, it is difficult to operate a centrifuge (e.g., instant start-up and shut down are not possible as it may take an hour during which there is gradual increase/decrease in the speed of the centrifuge [\(Strande](#page-15-2) [et al.,](#page-15-2) [2014\)](#page-15-2).

This technology requires lower land requirement, but needs skilled operators. The main constraints of this technology are higher power consumption, higher maintenance costs and fairly high noise levels.

# <span id="page-5-0"></span>*4.1.4. Settling–thickening tank*

A rectangular settling–thickening tank is used for FS treatment. The FS is discharged through a top inlet on one side and the supernatant exits through an outlet on the opposite side; solids settle at the bottom of the tank, whereas scum floats on the surface [\(Strande](#page-15-2) [et al.,](#page-15-2) [2014\)](#page-15-2). An alternative for the treatment of FS could be to add the lime/ammonia directly into the settling–thickening tank. Lime stabilisation offers the advantages of precipitating metals and phosphorus, and reducing pathogens, odours, degradable organic matter, etc., from the wastewater sludge treatment [\(Mendez](#page-15-17) [et al.,](#page-15-17) [2002\)](#page-15-17); it has been implemented in the Philippines for FS treatment [\(Pescon](#page-15-18) [and](#page-15-18) [Nelson,](#page-15-18) [2005\)](#page-15-18). Ammonia treatment can also be applied for pathogen reduction.

It is a relatively robust and resilient technology, but with low reduction of pathogens. The end products of settling tanks cannot be discharged into water bodies or used directly in agriculture.

### <span id="page-5-1"></span>*4.1.5. Imhoff tank*

Imhoff tanks usually consist of a two-storey tank mechanism that utilises the force of gravity for the separation of solids from wastewater—a process known as primary sedimentation. The solid part that is generated from the Imhoff tank is degraded under anaerobic digestion within a lower chamber of the tank prior to sludge disposal [\(Crites](#page-14-14) [and](#page-14-14) [Technobanoglous,](#page-14-14) [1998\)](#page-14-14).

The Imhoff tank treatment system requires a structure with depth. Depth may be a problem in the case of a high groundwater table. This treatment shows low reduction of pathogens, and so the effluent sludge and scum require further treatment. The main advantage of this technology is the low land requirement for construction and also the low cost for operation and maintenance.

# <span id="page-5-2"></span>*4.1.6. Geobags*

Geobags are used for dewatering of wet sludge [\(Kim](#page-14-15) [et al.,](#page-14-15) [2013\)](#page-14-15). Before discharge of geobag sludge for land application, composting is required for the better quality of sludge. Dried sludge from geobags must be solar-dried to ensure pathogen/helminth eradication before composting. Permeable textiles are used to make geotube containers, which are used for sludge and sediment dewatering. This new and innovative technology is also economically viable with other alternative sludge-dewatering techniques [\(Dietvorst,](#page-14-16) [2012\)](#page-14-16). This is a passive technique that does not need extensive and constant deployment of labour or frequent maintenance of equipment.

# <span id="page-5-3"></span>*4.1.7. Decision matrix for primary treatment technology (solid–liquid separation)*

Technologies for individual houses, communities or ward/city should be selected based on the user requirement and local conditions. The criteria for selection of appropriate technology depends on city constraint such as land requirement, energy requirement, level of groundwater table, capital and operating cost, skill requirement and reuse opportunity etc. Based on the constraint, a decision matrix was prepared for the primary treatment technologies options [\(Table 2\)](#page-6-2). The decision matrix ascertains the favourability of a technology in comparison with other identified technologies. It shows that the unplanted drying bed, planted drying bed and geobags have high land requirements but low energy requirement. Imhoff tank requires a deep groundwater table for its operation. Treated waste generated from the centrifugation and geobag cannot be reused directly without further sludge treatment [\(Table 2\)](#page-6-2).

# <span id="page-5-4"></span>*4.2. Sludge treatment technologies*

After dewatering of sludge, partially treated sludge is produced. This treated FS still contains pathogens and eggs of parasites, and cannot be directly used in agriculture. To improve the quality of sludge, further treatment is required. This is the final stage of treatment of sludge before discharge. The technologies used for further treatment of sludge are cocomposting, deep row entrenchment, vermicomposting, anaerobic digester, solar drying, shallow trenches, solar sludge oven and black soldier fly larvae. Deep row entrenchment and shallow trenches can be considered as both a treatment and an end-use option.

# <span id="page-5-5"></span>*4.2.1. Co-composting*

This technology has been widely used for processing source-separated human faeces [\(WHO,](#page-15-4) [2006;](#page-15-4) [Niwagaba](#page-15-19) [et al.,](#page-15-19) [2009\)](#page-15-19). After dewatering of FS, the partially treated sludge is mixed with the organic fraction of municipal solid waste at a ratio of 1:2 or 1:3. The composting process needed well-balanced conditions of aeration and moisture for the survival of microbes. FS has high moisture and nutrient content, whereas municipal solid waste has good bulking properties and is rich in organic content. After composting, the resulting end product is stabilised organic matter that can be used as a fertiliser. The cocomposting process takes 10–12 weeks and high temperature (50–70 °C) maintained for 3 weeks during co-composting for the destruction of helminth eggs and pathogenic bacteria. Thereafter, the temperature gradually decreases until the compost is matured.

The main advantages of co-composting are that pathogen reduction is high and a high removal of helminth eggs is possible  $\langle$ <1 viable egg/g TS). But this technology requires technical and managerial skills for operation and generation of safe products.

<span id="page-6-2"></span>Decision making matrix for primary treatment technology (solid–liquid separation) with respect to constraint.



# <span id="page-6-0"></span>*4.2.2. Deep row entrenchment*

In the deep row entrenchment process, deep trenches are dug, which are then filled with sludge followed by covering with soil [\(Still](#page-15-20) [et al.,](#page-15-20) [2012\)](#page-15-20). Earthmoving equipment is used in this technique to bury the sludge in plantation pits before planting takes place. The anaerobic conditions in the trench are accountable for reducing nitrification and, hence, restraining the leaching of nitrates. The entrenched sludge also acts as a form of slow-release fertiliser for trees. The organic matter and nutrients which are slowly released from the FS are beneficial for growth of trees that are planted on the top. The risk pathogen exposure of people gets reduced due to this. It also ensures adherence to the latest sludge guidelines for the disposal of non-faecal matter and for recycling of nutrients. Deep row entrenchment feasible for those areas where groundwater table is deep and sufficient land is available.

The benefits of this technology are  $CO<sub>2</sub>$  fixation and erosion protection through the plantation. Low groundwater table and high land requirement could be constraints.

#### <span id="page-6-1"></span>*4.2.3. Vermicomposting*

Vermicomposting is a low-cost technology system using earthworms for composting faecal matter [\(Shalabi,](#page-15-21) [2006;](#page-15-21) [Contreras-Ramos](#page-14-17) [et al.,](#page-14-17) [2005;](#page-14-17) [Yadav](#page-15-22) [et al.,](#page-15-22) [2010\)](#page-15-22). This technology is rapid, easily controllable, energy-efficient, cost-effective and produces zero waste for FSM [\(Eastman](#page-14-18) [et al.,](#page-14-18) [2001\)](#page-14-18). After vermicomposting, two useful end products are produced, namely, earthworm biomass and vermicompost. Earthworms can consume practically all kinds of organic matter and can eat up to their own body weight in a day; e.g., 1 kg of earthworms can consume 1 kg of residues every day [\(Loh](#page-15-23) [et al.,](#page-15-23) [2005\)](#page-15-23). C:N ratio determines the relative proportion of the mass of carbon to the mass of nitrogen in compost. The optimum C/N ratio for composting is considered to be 30%–35%, and microbial activity in this range is fast. A low C/N ratio can be further improved by adding common waste materials such as animal waste, bagasse or garden waste, etc. During vermicomposting, the moisture level should also be maintained at 50%–60% by periodic sprinkling of adequate quantity of tap (potable) water. Several epigeics (*Eisenia fetida, Eisenia andrei, Eudrilus eugeniae, Dendrobaena veneta, Perionyx excavatus* and *Perionyx sansibaricus*) have been identified for the degradation of organic waste materials for vermicomposting [\(Wong](#page-15-24) [and](#page-15-24) [Griffiths,](#page-15-24) [1991;](#page-15-24) [Shalabi,](#page-15-21) [2006;](#page-15-21) [Suthar,](#page-15-25) [2007\)](#page-15-25).

The main advantages of this technology are easy operation, complete removal of pathogens and the end product, which is a good soil conditioner. However, technical and managerial skills are required for operating a vermicomposting plant.

# <span id="page-7-0"></span>*4.2.4. Anaerobic digester*

This technology is used for the digestion of organic matter in the presence of anaerobic microorganism. Biogas and slurry are produced during the treatment process [\(Salminen](#page-15-26) [and](#page-15-26) [Rintala,](#page-15-26) [2002\)](#page-15-26). The amount of biogas produced from FS by anaerobic digestion depends on operating parameters such as stability of the sludge, the COD of the sludge and temperature [\(Bensah](#page-14-19) [et al.,](#page-14-19) [2010;](#page-14-19) [Song](#page-15-27) [et al.,](#page-15-27) [2012;](#page-15-27) [Dahunsi](#page-14-20) [and](#page-14-20) [Oranusi,](#page-14-20) [2013\)](#page-14-20). The quality of FS needs to be checked before anaerobic digestion, as FS or partially digested sludge has a low concentration of biodegradable organics. In this case, the low content of biodegradable organics would result in a low volume of biogas but high solid accumulation, resulting in significant operational costs with limited benefits. Digested FS may not be suitable for anaerobic digestion, depending on the level of stabilisation it has undergone. However, expert design along with skilled labour is required for construction of an anaerobic digester.

# <span id="page-7-1"></span>*4.2.5. Solar drying*

Solar drying treatment is generally done in greenhouse structures with glassy covers, concrete basins and walls [\(Bennamoun,](#page-14-21) [2012\)](#page-14-21). Sludge is disposed into the concrete basins and processed for about 10–20 days. An option for batch or continuous operation is available with devices for controlling the greenhouses conditions (e.g., ventilation, air mixing, temperature). Solar variation, air temperature and ventilation rate are the main factors influencing the evaporation efficiency in these systems, with the air mixing and the initial dry solid content of the sludge impacting the operation [\(Seginer](#page-15-28) [and](#page-15-28) [Bux,](#page-15-28) [2005\)](#page-15-28). The sludge moisture content decreases from 85% to 6% in 7–12 days in summer conditions, but may require up to 32 days in autumn conditions [\(Mathioudakis](#page-15-29) [et al.,](#page-15-29) [2009\)](#page-15-29). Solar drying has low energy requirements and investment costs technology for FSM. The main constraints of this technology are the space requirement and the need for a mechanical means to turn the sludge, as well as to ventilate the greenhouses.

# <span id="page-7-2"></span>*4.2.6. Shallow trenches*

It is a simple system that helps in land remediation, and causes no nuisance to neighbours in terms of smell or aesthetic flexibility. A shallow trench can be used irrespective of the quality and quantity of sludge. Shallow trench technique is safe for groundwater and that the sludge is beneficial to the growth of trees [\(WASHplus](#page-15-30) [Project,](#page-15-30) [2011\)](#page-15-30). However, the main constraints of this technology are the space requirement.

#### <span id="page-7-3"></span>*4.2.7. Solar Sludge Oven*

Solar Sludge Oven is an insulated box covered with glass that sits at a 45° angle. When exposed to sunlight, the temperature inside the box increases. The temperature inside a well-insulated box can reach up to 180 °C. As part of sludge treatment, bricks and cement are used to build sludge ovens with a capacity of 6  $m^3$  individually on the disposal site in Ambositra [\(WASHplus](#page-15-30) [Project,](#page-15-30) [2011\)](#page-15-30). Removable transparent roofing sheets are used to cover the half-buried and insulated oven. The oven is closed once full due to loading of sludge into it. As the temperature of the oven rises, depending on the degree of insulation, the drying process in the sludge continues over several months until the pits are emptied again. As a result, the dry residual sludge becomes hygienic and can be buried under a thin layer of soil or can be utilised as a conditioner for the improvement of soil fertility in neighbouring orchards. This technology is very simple to use and the sludge generated from this technology is very hygienic, but the processing capacity is limited (only  $\approx$ 12 m<sup>3</sup> per 8 months) and the cost is higher compared with burial pits or trenches, which could be considered a constraint.

# <span id="page-7-4"></span>*4.2.8. Black soldier fly larvae (BSFL)*

BSFL feed on decomposing organic matter such as human and animal manure, as well as fruit and vegetable waste [\(Tomberlin](#page-15-31) [et al.,](#page-15-31) [2002\)](#page-15-31). It is found in tropical regions and is an excellent protein source in animal feed. The feeding activity of BSFL decreases the dry mass of waste significantly. The insect protein could be used in animal feed to replace fishmeal. The global price for fishmeal has tripled from 2005 to 2013, and is expected to remain high due to declining wild fish stocks and the ongoing increase of aquaculture, the revenue potential from these larvae is very attractive [\(Naylor](#page-15-32) [et al.,](#page-15-32) [2009\)](#page-15-32). However, BSFL treatment is not an adequate sanitisation method for FS intended for agricultural reuse in areas with prevalence of ascariasis. Further treatment is required if the product is to be used as fertiliser for food crops. Ammonia sanitisation is an affordable and reliable treatment which produces not only a sanitary product, but also a product with increased nutrient content [\(Vinnerås,](#page-15-33) [2007;](#page-15-33) [Lalander](#page-15-0) [et al.,](#page-15-0) [2013\)](#page-15-0).

# <span id="page-7-5"></span>*4.2.9. Decision matrix for sludge treatment technology*

A decision matrix was prepared with respect to constraint for the sludge treatment technologies options [\(Table 3\)](#page-8-2). The matrix shows that deep row entrenchment and shallow trenches are low-cost options with respect to CAPEX and OPEX but low reuse opportunity. Co-composting, vermicomposting, anaerobic digester, solar drying, shallow trenches, solar sludge oven and BSFL do not depend on the groundwater level for operation, whereas deep row entrenchment requires groundwater located deep [\(Table 3\)](#page-8-2). Treated waste generated from the co-composting, vermicomposting, anaerobic digester, solar drying, solar sludge oven and BSFL has reuse opportunity which would generate revenue to financially sustain the system.

<span id="page-8-2"></span>Decision making matrix for sludge treatment technology with respect to constraint.



# <span id="page-8-0"></span>**5. Cost–benefit analysis of FSM technologies**

The organic matter and other nutrients in the FS can be recovered and reused as a soil conditioner, biogas, biochar, etc. These products can be sold in the market and the revenue generated from it can recover the capital and operating costs of FSM technologies. The techno economic feasibility of different combinations of primary and sludge treatment technologies has been conducted to check its business viability.

# <span id="page-8-1"></span>*5.1. Benefits of treated sludge*

FS that has undergone some degree of treatment and is no longer raw is called ''treated sludge''. Treated sludge, which is fully stabilised sludge, can be used for different purposes such as combustion as fuel [\(Werther](#page-15-34) [and](#page-15-34) [Ogada,](#page-15-34) [1999;](#page-15-34) [Muspratt](#page-15-35) [et al.,](#page-15-35) [2014;](#page-15-35) [Strande](#page-15-2) [et al.,](#page-15-2) [2014\)](#page-15-2), char production [\(Rulkens,](#page-15-36) [2008\)](#page-15-36), in building materials [\(Jordan](#page-14-22) [et al.,](#page-14-22) [2005;](#page-14-22) [Lin](#page-15-37) [et al.,](#page-15-37) [2012\)](#page-15-37) and as a soil conditioner [\(Nikiema](#page-15-38) [et al.,](#page-15-38) [2013;](#page-15-38) [Diener](#page-14-23) [et al.,](#page-14-23) [2014\)](#page-14-23). Use of treated or raw sludge as a soil conditioner and fertiliser is very popular. Generally in developing countries, farmers use wastewater, raw or treated sludge for irrigation and soil conditioning to minimise the purchase of chemical fertiliser. FS contains a lower concentration of heavy metals than artificial manure, and can be considered a clean fertiliser. Since FS contains pathogens, the treatment of the faecal matter is necessary before it can be utilised as a fertiliser. Farmers of Dakar use, on average, 246 m<sup>3</sup> of FS per year as a soil conditioner. In Ghana, co-composting from FS has previously shown limited demand by farmers, but nitrogen enrichment is suggested to increase value and demand [\(Nikiema](#page-15-38) [et al.,](#page-15-38) [2013\)](#page-15-38). The average price is US\$4/tonne for FS that is generated from drying beds, in contrast to animal manure, which sells at twice as much due to its higher acceptance [\(Diener](#page-14-23) [et al.,](#page-14-23) [2014\)](#page-14-23). In Dakar, horticulturists and farmers mix the FS with *Casuarina equisetifolia* L. leaves (Filao leaves) and animal manure to achieve a consistency that is easier to work with, as the form in which the FS is currently sold is not considered optimal [\(Diener](#page-14-23) [et al.,](#page-14-23) [2014\)](#page-14-23). Local farmers can be convinced for using human excreta in their fields if the sludge could be properly dried by using appropriate techniques.

<span id="page-9-13"></span>



<span id="page-9-2"></span>a [Tilley](#page-15-39) [et al.](#page-15-39) [\(2014\)](#page-15-39).

<span id="page-9-3"></span>**b** [Nikiema](#page-15-16) [et al.](#page-15-16) [\(2014\)](#page-15-16).

<span id="page-9-5"></span><sup>c</sup> [Strauss](#page-15-40) [and](#page-15-40) [Montangero](#page-15-40) [\(2002\)](#page-15-40).

<span id="page-9-7"></span><sup>d</sup> [Ajibade](#page-14-24) [\(1999\)](#page-14-24).

<span id="page-9-4"></span><sup>e</sup> [Solids](#page-15-41) [Handling](#page-15-41) [Plan](#page-15-41) [\(2010\)](#page-15-41).

<span id="page-9-6"></span>f [Sharrer](#page-15-42) [et al.](#page-15-42) [\(2010\)](#page-15-42).

#### <span id="page-9-14"></span>**Table 5**

Technical and financial parameters for each sludge treatment technology.



<span id="page-9-8"></span> $\frac{a}{b}$  [AIT](#page-14-25) [Tool](#page-14-25) [\(2016\)](#page-14-25).

<span id="page-9-10"></span>[Chavez](#page-14-26) [\(2013\)](#page-14-26).

<span id="page-9-9"></span><sup>c</sup> [IL](#page-14-27) [&](#page-14-27) [FS](#page-14-27) [Ecosmart](#page-14-27) [Limited,](#page-14-27) [M/s](#page-14-27) [Organophos](#page-14-27) [\(2009\)](#page-14-27).

<span id="page-9-11"></span><sup>d</sup> [WASHplus](#page-15-30) [Project](#page-15-30) [\(2011\)](#page-15-30).

<span id="page-9-12"></span><sup>e</sup> [Kurt](#page-15-43) [et al.](#page-15-43) [\(2015\)](#page-15-43).

#### <span id="page-9-1"></span><span id="page-9-0"></span>*5.2. Techno-economic assessment of FSM technologies*

Treated sludge is more hygienic in nature and has an improved structure, so it has more market value. The practical implementation of any technology depends on its techno-economic viability. Usage of thickening and dewatering technologies produces denser sludge with approximately 32% dry solid concentration, while drying technologies produce sludge with more than 62% dry solid concentration [\(Flaga,](#page-14-28) [2005\)](#page-14-28). As shown in [Tables 4](#page-9-13) and [5,](#page-9-14) the solid retention for the thickening and dewatering technologies like centrifuge and settling–thickening tank is more than 90%, and, hence, these can generate more revenue. Sludge for use in agricultural purposes is always preferred to have a solid concentration of more than 60%. Hence, an appropriate combination of dewatering and drying technology could generate better revenue. A detailed financial model was prepared to conduct a cost–benefit analysis for different combinations of primary treatment and sludge treatment components. The financial assessment was performed for a 10-year-long period. Sludge treatment technologies like anaerobic digester and BSFL to produce biogas and animal protein, respectively are still being tested with FS at a laboratory or pilot scale. Since this technology has not yet been implemented at the field, BSFL is not considered for this assessment. In case of biogas digester, since the feed used is long-term digested FS from septic tanks, the economic assessment of biogas generation is not considered [\(Diener](#page-14-23) [et al.,](#page-14-23) [2014\)](#page-14-23).

There are basically two important parameters that affect the financial viability of a treatment plant—yield factor and population served.

**Yield factor**: Yield is the quantity of organic solids that will be retained after the treatment process. The yield factor from each technology directly affects the quantity of manure produced, which in turn affects the revenue generated. The yield factor from the sludge treatment technologies is between 25% and 32%. Shallow trenches and deep row entrenchments are planted burying pits, and, hence, no revenue can be generated by selling the manure produced inside the pit. These technologies generate a social cost–benefit that is not included in the current analysis.

**Population served**: With the population changing in each city, the viability of treatment options also changes. [Table 6](#page-10-0) shows the categorisation of Indian cities.

A detailed financial analysis was conducted to calculate the IRR and payback period using the revenue and overall operating expense of the project. The revenue generated from the final product was calculated based on the quality and quantity of the manure. The quality of manure generated from co-composting and vermicomposting is higher as compared to other sludge treatment techniques. Hence, the price of compost or vermicompost was taken to be US\$ 104/tonne [\(Mukherjee,](#page-15-44) [2015\)](#page-15-44), whereas the price of the manure generated from the other sludge treatment technologies was taken to be US\$ 74/tonne. Quantity of manure was calculated using the combined yield factor of primary and sludge treatment technology. Combined yield factor is calculated by multiplying the yield factors of primary and sludge treatment technologies. The overall operating expense of these treatment technologies was calculated from the OPEX values provided in [Tables 4](#page-9-13) and [5.](#page-9-14) On

<span id="page-10-0"></span>Categorisation of cities.

Class	Population
ĪΑ	Over 5.0 million
ΙB	$1.0 - 5.0$ million
IС	$0.1 - 1.0$ million
Н	50.000-99.999
Ш	20.000-49.999
IV	10.000-19.999
v	5000-9999
VI	Less than 5000

#### <span id="page-10-1"></span>**Table 7**

Assumptions used in the financial model.



<span id="page-10-2"></span>

**Fig. 2.** Variation in financial parameters generated from an unplanted drying bed with the six sludge treatment options.

considering the inflation rate and time value of money, a yearly escalation rate on the price of manure and operating cost of treatment plant was considered. [Table 7](#page-10-1) shows the basic assumptions used for performing the cost–benefit analysis. The net cash flow of each treatment technology combination was calculated based on the difference between revenue and expense. A salvage value of 5% was considered at the end of the lifetime of the project.

The six primary technologies were technically compatible with the six sludge treatment options shown in [Tables 4](#page-9-13) and [5.](#page-9-14) Hence, thirty-six combinations of treatment technologies were generated and their financial analysis was performed to understand their cost-effectiveness. In order to understand the change in CAPEX, OPEX and revenue generated from combinations of primary treatment technology and sludge treatment technologies, the cheapest technology, unplanted drying bed, was chosen as the primary treatment technology and combined with the six sludge treatment options as shown in [Fig. 2.](#page-10-2) The primary vertical axis shows the CAPEX, OPEX and revenue generated from the combination while the secondary vertical axis shows the combined yield from the treatment combinations [\(Fig. 2\)](#page-10-2). Combination of unplanted drying bed with solar sludge oven generates the highest net profit among the six combinations. Though solar drying and solar sludge oven provides same yield, solar sludge oven requires lesser CAPEX for implementation. Co-composting, vermicomposting and solar drying are costlier and generate low yield as compared with the other sludge treatment options.

To understand the effect of population size on the viability of the treatment plant, the model was run for population sizes based on the classification of Indian cities. The quality of sludge was considered to be the same for all classes of the cities. A baseline case with the price of the compost/vermicompost and manure generated from other sludge treatment technologies were taken as US\$ 104/tonne and US\$ 74/tonne, respectively. IRR was calculated for all technology combinations at varying population sizes.

The price of compost was found to vary from US\$ 0.074 to 2/kg, across several online shopping websites [\(Amazon,](#page-14-29) 2016). The average price of the compost is US\$ 1040/tonne. In order to incorporate the varying market price, a scenario analysis was

<span id="page-11-0"></span>

Technology combinations generating positive IRR (Baseline case).



#### <span id="page-11-1"></span>**Table 9**

Technology combinations generating positive IRR (Scenario analysis).



conducted by increasing the price of compost and other manure by 10 times from the baseline. The economic feasibilities of the treatment technologies were further checked.

The baseline case (price of compost/vermicompost and other manure as US\$ 104/tonne and US\$ 74/tonne, respectively) and scenario case (price of compost/vermicompost and other manure as US\$ 1040/tonne and US\$ 740/tonne, respectively) study generated 29 and 128 technology combinations, respectively providing a positive IRR. The combinations generating positive IRR are shown in [Tables 8](#page-11-0) and [9.](#page-11-1) In small cities, costly technology like centrifugation is not a viable option as the sludge generated from these cities is small. However, as the population size increases across the cities, more efficient and costly technologies will become viable with higher generation of revenue.

Technologies generating an IRR of more than 10% were considered as economically feasible. The baseline case generated 26 economically feasible options. Solar sludge oven was the only financially viable sludge treatment options in this case. The project IRR varied from 10% to 16% for a population size of 10,000 and went up to 28% for a population size of 5 million. [Table 10](#page-12-0) shows the various techno-economically feasible options against various population sizes.

With the increase in price of the compost and manure, scenario analysis generated 91 economically feasible options. The financially viable sludge treatment options in scenario analysis are co-composting, lime stabilisation, solar drying and solar sludge oven. The project IRR varied from 10% to 181% for a population size of 10,000 and went up to 279% for a population size of 5 million. [Table 11](#page-12-1) shows the various techno-economically feasible options against various population sizes for the scenario analysis.

From the baseline case and scenario analysis, it can be seen that the solar sludge oven option is the most feasible sludge treatment options across all population size. In the baseline case, it generates an IRR between 3% and 28% based on various

<span id="page-12-0"></span>Techno-economically feasible options in baseline case.



#### <span id="page-12-1"></span>**Table 11**

Techno-economically feasible options in scenario analysis.



population sizes. As the population size increases, the choices of economically viable technology combinations increased in baseline case and scenario analysis 1. In the baseline case, solar sludge oven became a feasible option for population sizes above 10,00,000 for all the six primary treatment technologies. Similarly, co-composting, solar drying and solar sludge oven became the feasible option in case of scenario analysis. Centrifugation, a thickening and dewatering technology, was not economically feasible with any of the sludge treatment technologies till population size increased to 50,000 and 10,00,000 in case of scenario analysis and baseline case. Hence, Class-IA and IB cities will have better options for economically viable FS treatment methods.

Similarly, the payback period of treatment plants using a solar sludge oven is low as compared with that of other technologies. [Figs. 3](#page-13-2) and [4](#page-13-3) show a reducing trend of the payback period with increase in population size. At lower population

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<span id="page-13-3"></span>**Fig. 3.** Payback period for combinations of primary technologies with the solar sludge oven technology (baseline case).



**Fig. 4.** Payback period for combinations of primary technologies with the solar sludge oven technology (Scenario analysis).

size, the payback period for centrifugation and geobags cannot be calculated due to the highly negative profit incurred from them.

The maximum payback period calculated in the baseline case and scenario analysis was 8.86 and 4.49 years, respectively. In the baseline case, the maximum time required for cost recovery was for the combination of solar sludge oven with geobags (8.86 years), whereas minimum time was required with settling–thickening tank (3.53 years). The payback period of each of the six primary treatment technologies was less than 4 years while designing for a population size of more than 5 million. In scenario analysis, the payback period reduced to less than 0.5 years for a population size of greater than 1 million.

# <span id="page-13-0"></span>*5.3. Limitations of the study*

This study has the following limitations:

- It has focused only on the FS treatment technology and has not considered effluent treatment technologies.
- The capital cost, operating costs and yield of the primary and sludge treatment technologies are based on literature review and not specific to the developing nations. The costs are not based on a consistent year, and, hence, the inflation rates are not considered in the calculation.
- Costs of unplanted drying beds, planted drying beds, vermicomposting and deep row entrenchments are based on expert opinions in the relevant sector. Similarly, the yield factors of geobags, co-composting are obtained from experts.
- The final revenue from the treatment is considered with the assumptions that the users are willing to pay for the manure. The price of the manure from various sludge treatment technologies were obtained from experts.

# <span id="page-13-1"></span>**6. Conclusion**

Rapid urbanisation and population growth generates enormous quantities of FS. Generally in developing countries, households use septic tanks for storage and treatment of excreta. FS waste is generated from septic tanks; it causes environmental pollution and outburst of diseases. This FS could, alternatively, be utilised as a raw material for useful produces, which can help in protecting our fragile environment and human resources by controlling the spread of excretarelated diseases. Primary and sludge treatment technology is required to produce the better quality of sludge. The treatment

technologies should be selected based on the user requirement and local conditions of the ward or city. A decision matrix was prepared for the primary and sludge treatment option with respect to constraints which shows that the unplanted drying bed, planted drying bed, geobags, co-composting, vermicomposting and BSFL technology have high land requirements but do not need energy. The FS treatment technologies would help in the implementation of sanitation policies that aim to achieve cities free from open defecation. Technologies applied for FSM generate valuable and beneficial FS end products, which will help the slum dwellers to appropriately manage their own FS and also generate revenue for employment and business. A cost–benefit analysis of different combinations of primary and sludge treatment technologies was performed for different classes (based on population size) of Indian cities. Solar sludge oven is the most techno-economically feasible sludge treatment option in terms of cost and yield which can be used with a primary treatment, across all population size. The primary treatment technologies such as centrifugation and geobags is suitable for higher population sizes like Class IA and IB cities, whereas the other technologies like planted drying bed, unplanted drying bed, settling–thickening tank and Imhoff tank are viable for the all population sizes. The revenue from the sale of manure was assumed as US\$ 104/tonne in the financial analysis. This generated a low IRR for many treatment technology combinations. The manure produced from FS has a higher organic content and has to be sold at a higher price as compared to manure generated from solid waste management plant. Using FS as a valuable product could help to address both the sanitation challenge as well as offer environmental benefits in terms of organic fertiliser.

# <span id="page-14-0"></span>**Acknowledgement**

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